

## FORM NO. MGT-9

**EXTRACT OF ANNUAL RETURN AS ON THE FINANCIAL YEAR ENDED ON 31<sup>st</sup> MARCH, 2019**  
**[Pursuant to Section 92(3) of the Companies Act, 2013 and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]**

**I. REGISTRATION AND OTHER DETAILS:**

S. No.	CIN	L51503DL1986PLC024329
1.	Registration Date	28/05/1986
2.	Name of the Company	Fortune Industrial Resources Limited
3.	Category/ Sub-Category of the Company	Company Limited by Shares
4.	Address of the Registered office and contact details	25, Bazar Lane, Bengali Market, New Delhi-110001 Contact: +011-43585000
5.	Whether listed company	Yes
6.	Name, Address and Contact details of Registrar and Transfer Agent, if any	Mas Services Limited T-34, 2 <sup>nd</sup> Floor, Okhla Industrial Area, Phase-II New Delhi-110020

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY**

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sl. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1.	Investment in Securities and providing Loans and Advances	65993	100.00%

**III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES**

Sl. No.	Name And Address of the Company	CIN/GL N	Holding/ Subsidiary/Associate	% of shares held	Applicable Section
	NOT APPLICABLE				

**IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)****(i) Category-wise Share Holding**

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Share	Dem at	Physical	Total	% of Total Share	
<b>A. Promoters</b>	--	--	--	--	--	--	--	--	--
<b>(1) Indian</b>	--	--	--	--	--	--	--	--	--
a) Individual/ HUF	616300	--	616300	61.63	616300	--	616300	61.63	0.00
b) Central Govt.	--	--	--	--	--	--	--	--	--
c) State Govt.(s)	--	--	--	--	--	--	--	--	--
d) Bodies Corp.	--	--	--	--	--	--	--	--	0.00
e) Banks / FI	--	--	--	--	--	--	--	--	--
f) Any Other	--	--	--	--	--	--	--	--	--
<b>Sub-total(A)(1):-</b>	<b>616300</b>	<b>--</b>	<b>616300</b>	<b>61.63</b>	<b>616300</b>	<b>--</b>	<b>616300</b>	<b>61.63</b>	<b>0.00</b>
<b>(2) Foreign</b>	--	--	--	--	--	--	--	--	--
a) NRIs-Individuals	--	--	--	--	--	--	--	--	--

b)Other-Individuals	--	--	--	--	--	--	--	--	--
c) Bodies Corp.	--	--	--	--	--	--	--	--	--
d) Banks / FI	--	--	--	--	--	--	--	--	--
e)Any Other....	--	--	--	--	--	--	--	--	--
<b>Sub-total (A)(2):-</b>	--	--	--	--	--	--	--	--	--
<b>Total Shareholding of Promoter (A) = (A)(1)+ (A)(2)</b>	<b>616300</b>	--	<b>616300</b>	<b>61.63</b>	<b>616300</b>	--	<b>616300</b>	<b>61.63</b>	<b>0.00</b>
<b>B. Public Shareholding</b>	<b>Demat</b>	<b>Physical</b>	<b>Total</b>	<b>% of Total Share</b>	<b>Dem at</b>	<b>Physical</b>	<b>Total</b>	<b>% of Total Share</b>	
<b>1.Institutions</b>									
a)Mutual Funds	--	--	--	--	--	--	--	--	--
b)Banks / FI	--	--	--	--	--	--	--	--	--
c)Central Govt.	--	--	--	--	--	--	--	--	--
d)State Govt.(s)	--	--	--	--	--	--	--	--	--
e)Venture Capital Funds	--	--	--	--	--	--	--	--	--
f) Insurance Companies	--	--	--	--	--	--	--	--	--
g)FIIs	--	--	--	--	--	--	--	--	--
h)Foreign Venture Capital Funds	--	--	--	--	--	--	--	--	--
i) Others (specify)	--	--	--	--	--	--	--	--	--
<b>Sub-total (B)(1)</b>	--	--	--	--	--	--	--	--	--
<b>2. Non Institutions</b>									
(a) Bodies Corp.									
(i) Indian	--	48000	48000	4.80	--	48000	48000	4.80	--
(ii) Overseas									
(b) Individuals									
(i) Individual shareholders holding nominal share capital upto Rs. 2 lakh	--	219500	219500	21.95	--	219500	219500	21.95	--
(ii) Individual shareholders holding nominal share capital in excess of Rs. 2 lakh	--	116200	116200	11.62	--	116200	116200	11.62	--
(c) Others(Specify)	--	--	--	--	--	--	--	--	--
(c-i) Non-Resident Individuals	--	--	--	--	--	--	--	--	--
(c-ii) Directors	--	--	--	--	--	--	--	--	--
(c-iii) Clearing Members	--	--	--	--	--	--	--	--	--
<b>Sub-total (B)(2)</b>	--	<b>383700</b>	<b>383700</b>	<b>38.37</b>	--	<b>383700</b>	<b>383700</b>	<b>38.37</b>	--
<b>Total Public Shareholding (B)=(B)(1)+ (B)(2)</b>	--	<b>383700</b>	<b>383700</b>	<b>38.37</b>	--	<b>383700</b>	<b>383700</b>	<b>38.37</b>	--
C. Shares held by Custodian for GDRs & ADRs	--	--	--	--	--	--	--	--	--
Grand Total (A+B+C)	<b>616300</b>	<b>383700</b>	<b>1000000</b>	<b>100.00</b>	<b>616300</b>	<b>383700</b>	<b>1000000</b>	<b>100.00</b>	--

• **(ii) Shareholding of Promoters**

Sl. No	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	% change in shareholding during the year
1.	Mr. Sanjeev Agrawal	420500	42.05%	--	420500	42.05%	--	--
2.	Mrs. Deepti Agrawal	95800	9.58%	--	95800	9.58%	--	--
3.	Mrs. Prabha Rani Agrawal	100000	10.00%	--	100000	10.00%	--	--
	<b>TOTAL</b>	<b>616300</b>	<b>61.63%</b>	--	<b>616300</b>	<b>61.63%</b>	--	--

• **iii) Change in Promoters' Shareholding (please specify, if there is no change)**

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	At the beginning of the year				
2.	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/ bonus/ sweat equity etc):	NOT APPLICABLE			
3.	At the End of the year				

• **iv) Shareholding Pattern of top ten shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):**

Sr. no	For each of the top ten shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	At the beginning of the year				
	Mr. Mukesh Aggarwal	69200	6.92	69200	6.92
	Sabelle Trade Links Pvt. Ltd	48000	4.80	48000	4.80
	Om Prakash Verma	47000	4.70	47000	4.70
	Ashok Saxena	15000	1.50	15000	1.50
	Jeewan Das	9800	0.98	9800	0.98
	Mohit	8800	0.88	8800	0.88
	Hukumchand	8800	0.88	8800	0.88
	Rakesh	8700	0.87	8700	0.87
	Darshan Kumar	8600	0.86	8600	0.86
	Radhey Shyam	7800	0.78	7800	0.78
	Davinder Kapil	7500	0.75	7500	0.75

2.	Date wise Increase/ Decrease in Share holding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/ bonus/ sweat equity etc):  Mr. Mukesh Aggarwal Sabelle Trade Links Pvt. Ltd. Om Prakash Verma Ashok Saxena Jeewan Das Mohit Hukumchand Rakesh Darshan Kumar Radhey Shyam Davinder Kapil	NIL	NIL	NIL	NIL
3.	At the End of the year ( or on the date of separation, if separated during the year)  Mr. Mukesh Aggarwal Sabelle Trade Links Pvt. Ltd. Om Prakash Verma Ashok Saxena Jeewan Das Mohit Hukumchand Rakesh Darshan Kumar Radhey Shyam Davinder Kapil	69200 48000 47000 15000 9800 8800 8800 8700 8600 7800 7500	6.92 4.80 4.70 1.50 0.98 0.88 0.88 0.87 0.86 0.78 0.75	69200 48000 47000 15000 9800 8800 8800 8700 8600 7800 7500	6.92 4.80 4.70 1.50 0.98 0.88 0.88 0.87 0.86 0.78 0.75

• v) Shareholding of Directors and Key Managerial Personnel:

Sl. no.	For each of the directors and Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	At the beginning of the year				
	1. Sanjeev Agrawal	420500	42.05	420500	42.05
	2. Puja Sharma	--	--	--	--
	3. Pankaj Gupta	--	--	--	--
	4. Nishant Goyal	--	--	--	--
	5. Vickky Kumari	--	--	--	--
	6. Deepak K Jha	--	--	--	--
	7. Umesh Gupta	--	--	--	--
2.	Date wise Increase/ Decrease in Share holding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/ bonus/ sweat equity etc):				
	1. Sanjeev Agrawal	--	--	--	--
	2. Puja Sharma	--	--	--	--
	3. Pankaj Gupta	--	--	--	--
	4. Nishant Goyal	--	--	--	--
	5. Vickky Kumari	--	--	--	--
	6. Deepak K Jha	--	--	--	--

	7. Umesh Gupta	--	--	--	--
3.	At the End of the year (or on the date of separation, if separated during the year)				
	1. Sanjeev Agrawal				
	2. Puja Sharma	420500	42.05	420500	42.05
	3. Pankaj Gupta	--	--	--	--
	4. Nishant Goyal	--	--	--	--
	5. Vickky Kumari	--	--	--	--
	6. Deepak K Jha	--	--	--	--
	7. Umesh Gupta	--	--	--	--

#### **V. INDEBTEDNESS**

	<b>Secured excluding deposits</b>	<b>Loans</b>	<b>Unsecured Loans</b>	<b>Deposits</b>	<b>Total Indebtedness</b>
Indebtedness at the beginning of the financial year					
i) Principal Amount	--		--	--	--
ii) Interest due but not paid					
iii) Interest accrued but not due					
<b>Total (i+ii+iii)</b>	--		--	--	--
Change in Indebtedness during the financial year	--		--	--	--
- Addition					
- Reduction					
Net Change					
Indebtedness at the end of the financial year	--		--	--	--
i) Principal Amount			--	--	--
ii) Interest due but not paid					
iii) Interest accrued but not due					
<b>Total (i+ii+iii)</b>	--		--	--	--

#### **VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

##### **A. Remuneration to Managing Director, Whole-time Directors and/or Manager**

<b>Sl. No.</b>	<b>Particulars of Remuneration</b>	<b>Name of MD/WTD/ Manager</b>	<b>Total Amount</b>
•	Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 (c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	<b>Not Applicable</b>	
•	Stock Option		
•	Sweat Equity		
•	Commission - as % of profit - others, specify...		
•	Others, please specify		
•	Total (A)		

	<i>Ceiling as per the Act</i>	
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### **B. Remuneration to other Directors**

Sl. No	Particulars of Remuneration	Name of Directors			Total (Amt in Rs.)
	<b><u>INDEPENDENT DIRECTORS</u></b>	<b>Ms. Puja Sharma</b>	<b>Ms. Vickky Kumari</b>	<b>Mr. Pankaj Gupta</b>	
	<b><u>Independent Directors</u></b> · Fee for attending board committee meetings · Commission · Others, please specify	25,000/-	10,000/-	35,000/-	70,000/-
	<b>Total (1)</b>	<b>25,000/-</b>	<b>10,000/-</b>	<b>35,000/-</b>	<b>70,000/-</b>
	<b><u>Other Non-Executive Directors</u></b> · Fee for attending board committee meetings · Commission · Others, please specify	-	-	-	-
	<b>Total (2)</b>	-	-	-	-
	<b>Total (B)=(1+2)</b>	<b>25,000/-</b>	<b>10,000/-</b>	<b>35,000/-</b>	<b>70,000/-</b>
	Total Managerial Remuneration				

### **C. Remuneration to Key Managerial Personnel Other Than MD /Manager /WTD**

Sl. no.	Particulars of Remuneration	Ms. Neha Sarpal (01.04.2018-31.07.2018)	Mr. Umesh Kumar Gupta (Chief Financial Officer) (01.4.2018-31.03.2019)	Mr. Deepak Kumar Jha Company Secretary (w.e.f., 30.08.2018)	Total (Amount in Rs.)
•	Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 (c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	1,74,800/-	2,17,800/-	5,07,500/-	9,00,100/-
•	Stock Option	-	-	-	-
•	Sweat Equity	-	-	-	-
•	Commission	-	-	-	-
•	Others, please specify	-	-	-	-
•	<b>Total</b>	<b>1,74,800/-</b>	<b>2,17,800/-</b>	<b>5,07,500/-</b>	<b>9,00,100/-</b>

**PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:**

Type	Section of the companies Act	Brief description	Details of Penalty/ Punishment / Compounding fees imposed	Authority[RD /NCLT/Court]	Appeal made. If any(give details)
A. Company					
Penalty	--	--	--	--	--
Punishment	--	--	--	--	--
Compounding	--	--	--	--	--
B. Directors					
Penalty	--	--	--	--	--
Punishment	--	--	--	--	--
Compounding	--	--	--	--	--
C. Other Officers In Default					
Penalty	--	--	--	--	--
Punishment	--	--	--	--	--
Compounding	--	--	--	--	--

For and on behalf of Board  
**Fortune Industrial Resources Limited**

Date: 12-08-2019  
Place: New Delhi

**Nishant Goyal**  
**Whole-time Director**  
**DIN: 08153024**

**Vicky Kumari**  
**Director**  
**DIN: 08248219**